

## FORMULATION AND EVALUATION OF ANTIFUNGAL SPRAY

Prof. Kshitij S. Varma<sup>\*1</sup>, Vedant V. Sonawane<sup>2</sup>, Siddhi S. Sonawane<sup>2</sup>, Sapna K. Sonawane<sup>2</sup>,  
Rushikesh S. Sonawane<sup>2</sup>, Bhushan M. Shirsath<sup>2</sup> and Shubham S. Shirsath<sup>2</sup>

<sup>1</sup>Assistant Professor, Mahatma Gandhi Vidyamandir's Pharmacy College Panchavati Nashik-3.

<sup>2</sup>Student, Mahatma Gandhi Vidyamandir's Pharmacy College Panchavati Nashik-3.

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\*Corresponding Author: Prof. Kshitij S. Varma

Assistant Professor, Mahatma Gandhi Vidyamandir's Pharmacy College Panchavati Nashik-3.

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### ABSTRACT

Fungal infections, particularly those affecting large or difficult-to-reach areas, present significant challenges in treatment due to limited drug penetration, short duration of action, and issues with patient adherence. Traditional antifungal therapies, including creams and oral medications, often fail to meet these needs effectively. This study investigates the development of a novel antifungal spray designed to overcome these limitations by ensuring controlled drug release and enhanced therapeutic efficacy. The formulation utilizes a bio adhesive and film-forming base, promoting uniform application and prolonged retention of the active ingredient. Extensive evaluation, including physicochemical characterization, in vitro drug release studies, spray pattern analysis, and antifungal efficacy testing against common pathogens, demonstrated that the spray formulation offers improved stability, sustained drug release, and potent antifungal activity. These findings suggest that the spray is a promising alternative for managing fungal infections, providing both effectiveness and convenience for patients.

**KEYWORDS:** Fungal infections, Antifungal spray, Bio adhesive formulation, Film forming base, Physicochemical characterization.

### INTRODUCTION

#### • Overview of Fungal Infections

Fungal infections have become an increasingly prevalent issue in clinical settings and public health worldwide. Their rising incidence can be attributed to various factors, including the expanding population of immunocompromised individuals due to HIV/AIDS, organ transplantation, chemotherapy, and the use of immunosuppressive drugs. Additionally, increased environmental exposure and climate changes have contributed to the spread of certain

pathogenic fungi, broadening the scope of fungal infections and their impact. These infections, caused by a range of fungal species such as *Candida*, *Aspergillus*, and *Dermatophytes*, can affect various body sites, from superficial skin layers to deeper tissues and systemic circulation.<sup>[1,2]</sup>

### • **Challenges in Treating Fungal Infections**

Despite advancements in antifungal therapy, managing fungal infections remains challenging. Key obstacles include:

#### 1. **Inadequate Drug Penetration**

- Many antifungal drugs struggle to penetrate deeply into tissues, limiting their efficacy in infections that affect not only the skin's surface but also deeper layers or nail beds. This issue is particularly evident in subcutaneous or nail infections, where effective drug delivery is crucial for reaching the full extent of the infected site.<sup>[3]</sup>
- Systemic fungal infections present even greater penetration challenges, often requiring high doses of antifungals to reach effective concentrations in deep tissues. These high doses can lead to increased side effects and toxicity.<sup>[4]</sup>

#### 2. **Adverse Side Effects**

Antifungal drugs, especially systemic ones, can produce a range of side effects. These include gastrointestinal disturbances, liver toxicity, nephrotoxicity, and, in some cases, hematologic side effects. The risk of these adverse effects may discourage patients from adhering to their prescribed regimen, leading to incomplete or ineffective treatment.<sup>[5]</sup>

### **The Role and Limitations of Topical Antifungal Treatments**

For fungal infections that are localized to the skin, nails, or mucosal areas, topical treatments are often the first line of therapy. Topical antifungals are preferred for these types of infections for several reasons:

#### 1. **Localized Action and Reduced Systemic Side Effects**

Topical formulations allow the drug to act directly at the infection site, maximizing local drug concentrations while minimizing systemic absorption and associated side effects. This is particularly beneficial for patients who may be at risk for systemic toxicity or adverse drug interactions.<sup>[6]</sup>

#### 2. **Ease of Use and Patient Compliance**

Topical formulations, such as creams, ointments, gels, and sprays, are generally easy to apply and can be self-administered by patients. This convenience promotes better adherence to the treatment regimen, especially when compared to more complex systemic therapies.<sup>[7]</sup>

Despite these advantages, topical antifungal treatments face significant limitations:

#### 1. **Limited Drug Penetration and Absorption**

Efforts to enhance penetration, such as adding permeation enhancers, can help but may lead to irritation or compromise the skin barrier, potentially causing discomfort or adverse reactions.<sup>[8]</sup>

#### 2. **Short Duration of Action**

Traditional topical formulations, like creams and gels, tend to have limited retention on the skin. This can result in frequent reapplication, which may be inconvenient and reduce patient adherence to the treatment plan. Additionally, environmental factors, such as sweating or exposure to water, can further shorten the contact time of the drug with the skin, diminishing its therapeutic effect.<sup>[9]</sup>

### 3. Inconsistent Drug Distribution and Difficulty Covering Large Areas

Applying traditional creams or ointments to extensive fungal infections can be challenging. Inconsistent application can result in uneven drug distribution, with some areas receiving less medication than others. This issue is particularly problematic for infections covering large areas, such as those seen in cases of extensive tinea (ringworm) or widespread candidiasis in skin folds.<sup>[10]</sup>

#### Advantages of Spray-based Dosage Forms

**Ease of Application:** Sprays allow for quick, easy application over large or irregular areas, such as skin folds or the back, without requiring manual spreading like creams or ointments. This is particularly helpful for treating fungal infections, where extensive skin or nail coverage is needed, and reduces irritation on sensitive skin. It also facilitates sanitary, no-contact application, which is beneficial for caregivers.<sup>[11]</sup>

**Even Distribution:** Spray formulations deliver the active ingredient in fine, uniform droplets, ensuring even coverage of the affected area. This consistent distribution is vital in antifungal therapy to minimize the risk of under-treatment and to reduce the potential for resistant fungi development.<sup>[12]</sup>

**Reduced Contact Contamination:** Since sprays eliminate the need for direct hand-to-skin contact, they reduce the risk of contaminating the affected area or spreading pathogens, which is crucial for infectious skin conditions.<sup>[13]</sup>

**Minimal Application Time:** Sprays are quick to apply, reducing the time needed for reapplications, which enhances convenience and patient compliance. This is particularly beneficial for patients who need to apply treatment multiple times daily.<sup>[14]</sup>

**Controlled and Consistent Dosage:** Many spray systems offer metered dosing, ensuring consistent delivery of medication. This precision helps prevent under- or over-application, which can affect treatment efficacy and safety.<sup>[15]</sup>

**Enhanced Drug Effectiveness and Comfort:** Spray formulations, often with bio adhesive agents, improve drug retention and provide sustained release, reducing the need for frequent applications. They also dry quickly, leaving little residue, enhancing comfort and patient satisfaction.<sup>[16]</sup>

#### ➤ Purpose of the Study

This study presents a novel antifungal spray formulated to improve drug delivery by enhancing tissue penetration, retention time, and sustained release of the active agent. Unlike creams or ointments, this spray contains bio adhesive and film-forming agents that adhere to the infected area, creating a durable layer that resists washing and rubbing off, ensuring prolonged effectiveness. It provides a controlled, gradual release of the antifungal, reducing the frequency of applications. With a fine mist for even distribution, this spray promotes effective treatment over large or hard-to-reach areas, increasing convenience and patient compliance.<sup>[17]</sup>

#### ➤ Current Antifungal Treatments and Their Limitations

Traditional antifungal treatments, such as creams, ointments, and oral medications, have long been used to manage fungal infections, but they each come with limitations that can impact their overall effectiveness and patient adherence.

1. **Topical Creams and Ointments:** These are the most common forms of antifungal therapy for superficial skin infections. However, they face significant challenges in ensuring adequate penetration, especially for infections in

deeper layers of the skin or on mucosal surfaces. The thick, greasy nature of ointments can be uncomfortable and may leave residues, which are unappealing to patients, especially when applied to visible areas. Additionally, their effectiveness can be limited by poor patient adherence, as topical formulations often require frequent reapplications. Inconsistent application can also lead to incomplete treatment, increasing the risk of recurrence and drug resistance.<sup>[18]</sup>

2. **Oral Medications:** Oral antifungal treatments, such as terbinafine and itraconazole, are prescribed for more severe or widespread fungal infections. While these medications can reach deeper tissues and organs, they come with their own set of challenges. First, they often involve longer treatment regimens, which can lead to patient non-compliance. They also pose a higher risk of systemic side effects, such as liver toxicity and gastrointestinal discomfort. Moreover, the effectiveness of oral antifungals can be hindered by poor absorption or interactions with food or other medications.<sup>[19]</sup>

## **Benefits of Spray-Based Formulations in Drug Delivery**

### **1. Even Distribution**

Spray formulations provide a fine mist that ensures uniform coverage of the affected area. This even distribution of the drug enhances therapeutic efficacy by preventing uneven application, which could result in under-treatment. Unlike creams or ointments that require manual spreading, sprays can cover larger areas quickly and consistently, improving the chances of optimal drug exposure to the infected or treated region.<sup>[20]</sup>

### **2. Suitability for Large or Difficult-to-Reach Areas**

Sprays are particularly effective for large or irregularly shaped areas, such as the back, skin folds, or mucosal surfaces, which may be challenging to treat with traditional dosage forms. The fine mist from the spray can easily coat these hard-to-reach surfaces without direct contact, making it more convenient for patients to apply the treatment independently, reducing discomfort and irritation often associated with manual application.<sup>[21]</sup>

### **3. Drug Retention**

Spray formulations often contain bio adhesive agents, which enhance the retention of the active ingredient on the skin. This is especially important for treatments that need prolonged contact with the skin. The retention helps maximize the drug's effectiveness by keeping it localized at the treatment site for an extended period.<sup>[22]</sup>

### **4. Film-Forming Properties**

Certain excipients in spray formulations, such as cellulose derivatives or polyvinyl alcohol (PVA), have film-forming properties. When sprayed, these excipients form a thin, flexible, and durable layer on the skin. This film adheres to the surface, protecting the active ingredient from being washed off and ensuring it remains in place for sustained release. The film provides controlled drug delivery, reducing the need for frequent reapplications and improving overall patient compliance. Additionally, the film helps enhance the localization of the drug, ensuring that it remains concentrated at the site of infection or treatment for better therapeutic outcomes. Additionally, the film's protective nature ensures that the active ingredient is localized precisely at the treatment site, enhancing its overall effectiveness.<sup>[23]</sup>

### **5. Non-Greasy and Lightweight**

Unlike creams and ointments that can leave greasy residues, spray formulations are often alcohol- or water-based, offering a non-greasy feel upon application. This makes them more comfortable for patients, particularly when applied

to visible areas, and prevents staining of clothing. The lightweight nature of sprays makes them ideal for individuals who are sensitive to thick, sticky formulations, ensuring that they are more likely to use the treatment consistently.<sup>[24]</sup>

#### **6. Reduced Risk of Cross-Contamination**

One of the key advantages of spray formulations is the ability to apply medication without direct contact with the skin. This reduces the risk of contaminating the treatment area, which is particularly important in infections like fungal conditions. It also minimizes the potential for spreading the infection to other parts of the body or to other individuals, making sprays ideal for use in healthcare settings and among immunocompromised patients.<sup>[25]</sup>

#### **7. Improved Patient Compliance**

The ease of use and quick application process of spray formulations contribute significantly to improved patient adherence to treatment regimens. Sprays are ideal for individuals who have difficulty applying creams or ointments, especially in hard-to-reach or large areas. The fast-drying nature of most spray formulations also means that patients are less likely to experience discomfort or disruption of their daily activities, encouraging more consistent use and ultimately improving therapeutic outcomes.<sup>[26]</sup>

#### **8. Enhanced Penetration of Active Ingredients**

Many spray formulations incorporate penetration enhancers, such as alcohol or dimethyl sulfoxide (DMSO), which help the active ingredient permeate the skin more effectively. These enhancers can increase the bioavailability of the drug, ensuring that it reaches the deeper layers of the skin or underlying tissues, which is particularly beneficial for treating infections that affect not just the surface but deeper dermal layers.<sup>[27]</sup>

#### **9. Customizable and Versatile**

Spray formulations can be easily customized to meet specific patient or disease needs. For example, the concentration of the active ingredient, the inclusion of additional excipients (like moisturizers or anti-inflammatory agents), and the spray mechanism can all be tailored for maximum effectiveness. The versatility of sprays also extends to their ability to be designed for various therapeutic purposes, such as pain management, wound care, or antifungal treatments, with modifications to the formulation to optimize release rates and bioavailability based on the condition being treated.<sup>[28]</sup>

#### **10. Less Irritation on Sensitive Skin**

Since spray formulations do not require rubbing or massaging into the skin, they are less likely to cause irritation, especially for patients with inflamed or sensitive skin. The gentle application process minimizes trauma to already compromised skin, which is particularly beneficial for patients suffering from chronic skin conditions or fungal infections where the skin may already be damaged or irritated.<sup>[29]</sup>

#### **11. Reduced Systemic Side Effects**

Since sprays are applied topically, the absorption of the active ingredient into the bloodstream is typically lower than with oral medications. This localized application reduces the risk of systemic side effects that are often seen with oral antifungal medications, such as gastrointestinal issues or liver toxicity. Topical sprays allow for more targeted treatment, minimizing adverse effects and enhancing patient safety, particularly for individuals with pre-existing conditions.<sup>[30]</sup>

## 12. Convenience in Use for Caregivers

In cases where patients are unable to apply treatments independently, such as elderly or disabled individuals, sprays offer a more convenient solution for caregivers. Caregivers can easily apply the spray to large or hard-to-reach areas without the need for direct contact, reducing their risk of exposure to infectious materials. Additionally, the ability to cover large areas quickly helps reduce application time, making it easier to incorporate into daily care routines.<sup>[31]</sup>

## Advances in Antifungal Spray Formulations

- Advances in antifungal spray formulations have brought forth innovative approaches to combat fungal infections, improving both efficacy and patient experience. Recent studies focus on developing antifungal sprays using key ingredients such as bio adhesive polymers, permeation enhancers, and film-forming agents. Bio adhesive polymers, such as chitosan and polyvinyl alcohol (PVA), are commonly added to sprays to enhance drug retention at the application site, reducing the need for frequent applications and ensuring a sustained release of the active antifungal agent. Permeation enhancers, such as ethanol or dimethyl sulfoxide (DMSO), are also incorporated to improve drug penetration into deeper skin layers, making these sprays more effective for infections below the skin's surface.<sup>[32]</sup>
- Advanced formulation techniques, including nano emulsion and liposomal technology, have enabled more efficient delivery of antifungal agents like terbinafine and clotrimazole. Nano emulsions, for instance, create a fine mist that provides even distribution and enhances the bioavailability of the drug, while liposomal sprays can help encapsulate the active ingredient for gradual release. Additionally, spray technology innovations like metered dosing ensures consistent and controlled drug application, helping prevent under- or over-application. These advancements collectively offer a promising solution to the limitations of traditional formulations, providing better patient compliance, convenience, and sustained antifungal efficacy, even for large or hard-to-reach areas.<sup>[33]</sup>

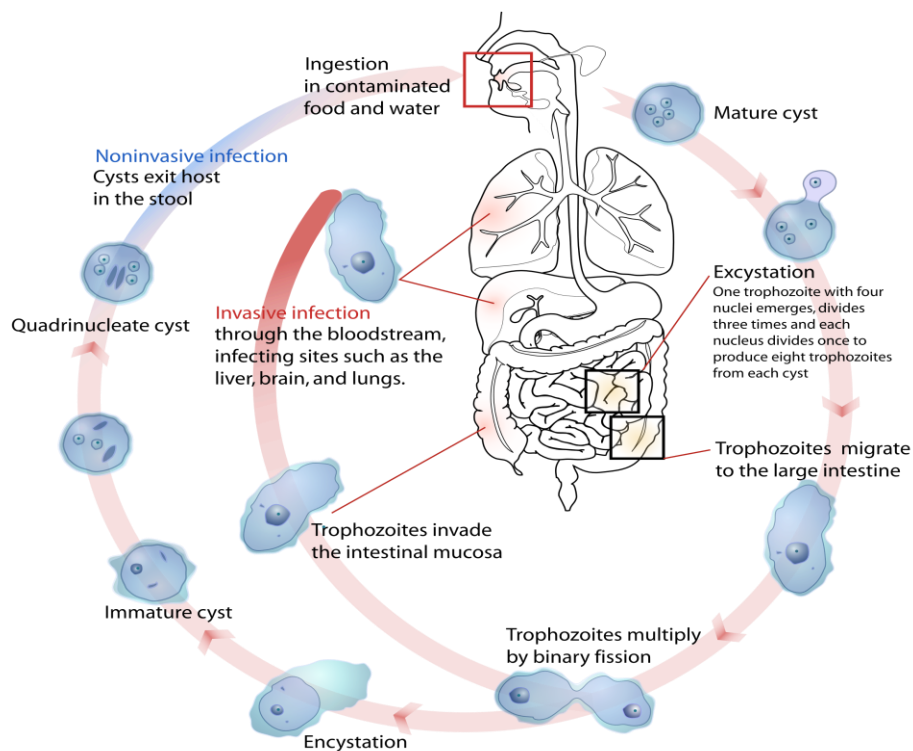


Fig. Life Cycle of Fungal Infections.

## AIM AND OBJECTIVES

**Aim:** To formulate and evaluate a novel antifungal spray dosage form that ensures optimal drug delivery, sustained release, and enhanced antifungal efficacy.

### Objectives

- To prepare a stable antifungal spray formulation with a bio adhesive and film-forming matrix.
- To assess the physicochemical properties of the spray, including spray pattern, viscosity, pH, and stability.
- To conduct in vitro release studies to determine the controlled release profile of the antifungal agent from the spray matrix.
- To evaluate the antifungal efficacy of the spray formulation against clinically relevant fungal strains.

## MATERIAL AND METHODS

- Formulation parameters for antifungal spray
- Moisture retention
- Role of Moisture Retention
  - Ensures prolonged adherence of the spray on the skin or affected area.
  - Helps in maintaining the stability of active antifungal ingredients.
  - Prevents desiccation, which can lead to ineffective delivery of the active ingredient.<sup>[34]</sup>

### Choice of Humectants

- Add humectants like glycerin, propylene glycol, or sorbitol to retain moisture in the formulation.
- These ingredients draw moisture from the surroundings and help keep the area hydrated, promoting better penetration of the antifungal agent.<sup>[35]</sup>

### Use of Moisture Barrier Agents

- Film-forming agents, such as hydroxypropyl methylcellulose (HPMC) or polyethylene glycol (PEG), create a barrier that minimizes moisture loss.
- These agents can also help in prolonging the contact time of the spray with the skin.<sup>[36]</sup>

### Adjustment of pH

- Maintain an optimal pH (often between 5-6) to avoid irritation and ensure stability of both the active ingredients and humectants.
- This helps in maintaining an environment that supports moisture retention without compromising the antifungal activity.<sup>[37]</sup>

### Inclusion of Moisture-Stabilizing Excipients

- Excipients such as sodium hyaluronate can aid in moisture retention.
- Stabilizing agents prevent moisture fluctuation, ensuring that the active antifungal components stay effective over time.<sup>[38]</sup>



**Formulation of Spray Emulsion (If Applicable)**

- Oil-in-water (O/W) emulsions help retain moisture by forming a film over the sprayed area.
- Adding emollients in the oil phase can help maintain skin hydration.<sup>[39]</sup>

**pH adjustment****Optimal pH Range**

- Set the formulation pH to approximately 5.5, which is close to the skin's natural pH and helps prevent irritation.
- A slightly acidic pH enhances the antifungal activity against common pathogens, which thrive less in acidic environments.<sup>[40]</sup>

**Impact on Active Ingredients**

- Ensure that the pH is compatible with the active antifungal ingredients (e.g., miconazole, clotrimazole) to prevent degradation.
- Some antifungal agents are more stable and effective at specific pH levels, so adjust accordingly for maximum efficacy.<sup>[41]</sup>

**Use of Buffering Agents**

- Add buffering agents like sodium citrate or citric acid to maintain a stable pH over time.
- Buffer systems help resist pH changes due to external factors such as temperature fluctuations and exposure to light.<sup>[42]</sup>

**Preservatives****Broad-Spectrum Activity**

- Select preservatives that provide broad-spectrum protection against bacteria, yeast, and moulds, as these organisms can thrive in moist environments.
- Common options include parabens (e.g., methylparaben, propylparaben), phenoxyethanol, benzyl alcohol, and organic acids (like sorbic or benzoic acid).<sup>[43]</sup>

**pH Compatibility**

- Ensure the preservative is effective within the formulation's pH range, typically around pH 5.5 for skin compatibility.
- Some preservatives, like benzoic acid, are more effective at lower pH levels, while others, like phenoxyethanol, have a broader effective pH range.<sup>[44]</sup>

**Concentration and Efficacy**

- Use preservatives at effective yet safe concentrations to avoid irritation or toxicity.
- Follow regulatory guidelines for maximum allowable levels of each preservative to ensure both efficacy and safety.<sup>[45]</sup>



**API (Active Pharmaceutical Ingredient)****Selection of API**

- Choose an API effective against the target fungal pathogens (e.g., dermatophytes, *Candida* species).
- Common antifungal APIs include miconazole, clotrimazole, ketoconazole, terbinafine, and ciclopirox, each with varying effectiveness against different fungal infections.<sup>[46]</sup>

**Mechanism of Action**

- Ensure that the selected API targets the fungal cell structure or metabolism to inhibit growth and prevent recurrence.
- For example, azoles (like clotrimazole) inhibit ergosterol synthesis, disrupting fungal cell membranes, while allylamines (like terbinafine) inhibit squalene epoxidase.<sup>[47]</sup>

**Concentration and Dosage**

- Formulate the spray with an appropriate API concentration to ensure therapeutic efficacy while minimizing potential side effects.
- Follow regulatory guidelines for the recommended dosage and concentration range for topical antifungals.<sup>[48]</sup>

**Evaluation parameters for antifungal spray****Skin irritation and cytotoxicity studies****Purpose of Skin Irritation Studies**

- Assess the potential for the formulation to cause irritation, redness, or itching on the skin, especially during prolonged or repeated use.
- Confirm that the product is safe for all skin types, including sensitive and damaged skin, to avoid adverse reactions.<sup>[49]</sup>

**In Vitro Skin Irritation Testing**

- Use in vitro assays, such as the reconstructed human epidermis (RHE) model, to screen for irritation potential before conducting in vivo tests.
- Common in vitro tests include the EpiDerm and Skin Ethic assays, which evaluate cell viability and inflammatory markers upon exposure to the spray.<sup>[50]</sup>

**In Vivo Skin Patch Testing**

- Conduct skin patch tests on human volunteers to assess irritation and allergic reactions under actual use conditions.
- Apply the spray to a small area on the forearm or back and monitor for redness, swelling, or discomfort over a defined period (usually 24-48 hours).<sup>[51]</sup>

**Physicochemical Evaluation****Appearance and Colour**

- Check the formulation's appearance and colour to ensure it meets the desired specifications.
- Any unexpected changes in colour or clarity can indicate instability or contamination.<sup>[52]</sup>

**Odor**

- Evaluate the odour to ensure it is acceptable and consistent across batches, as unpleasant or strong odours may affect user compliance.
- Changes in odour can signal microbial contamination or degradation of ingredients.<sup>[53]</sup>

**pH Measurement**

- Measure and adjust the pH to ensure compatibility with skin and stability of the active ingredients.
- Antifungal sprays typically have a pH between 5-6, which is suitable for skin application and maintains the stability of many antifungal agents.<sup>[54]</sup>

**Efficacy****In Vitro Antifungal Activity**

- Conduct in vitro tests, such as agar diffusion or broth dilution methods, to assess the spray's antifungal activity against target organisms.
- Determine the minimum inhibitory concentration (MIC) to establish the lowest concentration at which the spray effectively inhibits fungal growth.<sup>[55]</sup>

**Spectrum of Activity**

- Evaluate the spray's efficacy against a broad range of fungal pathogens (e.g., *Candida*, *Aspergillus*, *Trichophyton* species) to confirm its versatility in treating different fungal infections.
- Testing a wide spectrum ensures the spray's effectiveness for various fungal infections commonly encountered by users.<sup>[56]</sup>

**Kill Rate and Time-Kill Studies**

- Perform time-kill studies to assess how quickly the spray kills or inhibits the growth of fungi over time.
- These studies provide insight into the onset of action and help determine the duration needed for the product to achieve full efficacy.<sup>[57]</sup>

**Stability****Physical Stability**

- Assess physical appearance (e.g., colour, clarity, consistency) to check for changes like discoloration, phase separation, or sedimentation.
- Physical changes may indicate degradation or incompatibility among ingredients.<sup>[58]</sup>

**Chemical Stability**

- Test the chemical stability of the active pharmaceutical ingredient (API) and other excipients to confirm they remain within acceptable concentration limits over time.
- Evaluate any degradation products that may form, ensuring they are within safe and acceptable limits.<sup>[59]</sup>

**pH Stability**

- Measure pH periodically to confirm that it remains within the intended range, as pH shifts can affect both API stability and skin compatibility.
- pH changes may also impact preservative efficacy, so stable pH is crucial for microbial protection.<sup>[60]</sup>



Fig. Antifungal Spray.

## METHODOLOGY

Step	Procedure	Materials	Equipment	Notes
1	Preparation of Solution Base	Solvent (e.g., ethanol, water), surfactant (e.g., polysorbate 80), antifungal agent Preparation of Solution Base	Beaker, Magnetic stirrer	Measure and pour solvent into a beaker.
2	Dissolution of Antifungal Agent	Antifungal agent (e.g., clotrimazole, miconazole)	Stirrer, Measuring spoon	Add antifungal agent and stir until dissolved.
3	Incorporation of Excipients	Stabilizer (e.g., propylene glycol), preservative, fragrance	Beaker, Magnetic stirrer	Add excipients to enhance stability and scent.
4	Mixing	-	Magnetic stirrer	Mix thoroughly to ensure uniformity.
5	pH Adjustment	pH adjusting agent (e.g., citric acid, NaOH)	pH meter, pipette	Adjust pH to match skin compatibility.
6	Filtration	Filter paper or membrane	Filtration apparatus	Filter to remove undissolved particles.
7	Packaging	-	Spray bottle, filling funnel	Transfer to sterilized spray bottle.

## CONCLUSION

Spray-based antifungal treatments offer a more effective and patient-friendly alternative to traditional creams and oral medications. They enhance drug distribution, improve patient compliance, and reduce side effects by forming bio adhesive films that provide controlled, sustained release. Advancements in spray technology further improve efficacy and penetration, making these formulations highly promising for managing fungal infections.

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